

---

---

# Synergies in Research at Underground Facilities

1476 C. Curceanu, D. Elsworth, J. Formaggio, J. Harms, D. Robertson,  
1477 W. Roggenthen, H. Wang

1478 (Additional contributors from the community)

1479 **JLO Notes:** Synergistic underground research (e.g. research which hasn't appeared in the above sections).  
1480 List of remaining additions desirable:

- 1481 • Geothermal science (e.g., DOE Enhanced Geothermal Systems (EGS) Collaboration, SIGMA-V at  
1482 SURF, DOE Frontier Observatory for Research in Geothermal Energy (FORGE))
- 1483 • Biology
- 1484 • Geobiology
- 1485 • Atom Interferometry

## 1486 5.1 Introduction

1487 A broad range of scientific and engineering research is possible in underground laboratories, beyond the  
1488 physics-focused activities described in the other Underground Facilities and Infrastructure Topical Reports.  
1489 These areas of research include nuclear astrophysics, geology, geoengineering, gravitational wave detection,  
1490 biology, and perhaps soon quantum information science. This UF Topical Report will survey those other  
1491 scientific and engineering research activities that share interest in research-orientated Underground Facilities  
1492 and Infrastructure. In most cases the breadth and depth of research aims is too large to cover in completeness  
1493 and references to surveys or key documents for those fields are provided after introductory summaries.  
1494 Additional attention is then given to shared, similar, and unique needs of each research area with respect  
1495 to the broader underground research community's Underground Facilities and Infrastructure needs. Where  
1496 potential conflicts of usage type, site, or duration might arise, these are identified.

## 1497 5.2 Accelerator-based nuclear astrophysics

1498 Writer: D. Robertson

1499 In the energy range of relevance to stellar burning the cross-sections of reactions of interest are extremely  
1500 small. The rate of reaction drops very rapidly while moving lower into this energy range, reducing the  
1501 measurable event rate below background. The nature of accelerator-based nuclear astrophysics experiments  
1502 requires the active generation of radioactive decays through the forced interaction of nuclei of interest, this  
1503 is in-order to detect the low-intensity signatures of thermonuclear reaction channels of significance in stellar  
1504 burning environments. This process appears somewhat counter intuitive to being performed underground,  
1505 where most other experiments relocate to avoid such event generation. The requirements to perform these  
1506 interactions of interest however, show considerable overlap with numerous other fields moving underground  
1507 for the same reason of background suppression.

### 1508 5.2.1 Science goals

1509 At the epicenter of the field of nuclear astrophysics is the drive to understand the synthesis of the elements  
1510 in stellar environments [1]. Understanding the generation of elemental abundances requires wide ranging  
1511 information on stellar environments, particle interactions and energy generation. The current galactic  
1512 elemental abundance is the result of numerous reaction paths all building on one another to create energy  
1513 and elemental production.

1514 Initial production processes see the burning of hydrogen through either proton-proton interactions or through  
1515 the CNO-cycle [2] dependent on the mass of the star involved and available material present. Significant  
1516 reactions of interest associated with the CNO cycle are the  $^{12}\text{C}(p,\gamma)^{13}\text{N}$  and  $^{14}\text{N}(p,\gamma)^{15}\text{O}$ , both of which are  
1517 actively under investigation both above and below ground. The  $^{14}\text{N}(p,\gamma)$  reaction is of further significance  
1518 through the first detection of  $^{15}\text{O}$  neutrinos by Borexino [3]. Continued burning passes through a helium  
1519 phase where the triple-alpha process takes place, this key reaction of  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  determines the ratio of  
1520 carbon oxygen in our Universe and is considered the "holy grail" of nuclear astrophysics [4]. The complexity  
1521 of this measurement and its obvious importance make it a prime candidate for underground measurements.

1522 Processes for the production of elements above the iron peak can be considered as two groups Group one  
1523 for the production of elements far from stability and associated with multi-generational stars or explosive  
1524 environments, *r*-process [5], *p*-process [6], *rp*-process [7] and *n*-process [8]. Group two for the production  
1525 of elements in non-explosive burning scenarios, *i*-process [9] and s-process [10]. Of specific interest for  
1526 underground nuclear astrophysics is the s-process, where the slow capture of neutrons onto a seed nucleus  
1527 creates almost half of all nuclei above mass 56 and along the valley of stability. Understanding and quantifying  
1528 the source of neutrons for this process is of great interest to the community, the two main reactions thought  
1529 to feed the neutron abundance are  $^{13}\text{C}(\alpha,n)^{16}\text{O}$  and  $^{22}\text{Ne}(\alpha,n)^{25}\text{Mg}$ . Significant efforts are underway to  
1530 measure these reactions through direct and indirect methods, capitalizing on above ground and underground  
1531 capabilities. Competing reactions which would reduce the abundance of  $^{13}\text{C}$  and  $^{22}\text{Ne}$  available for neutron  
1532 production and those reacting with neutrons before s-process nuclei, known as neutron poisons, are also of  
1533 strong importance.

1534 Recent white papers outlining the future direction of nuclear astrophysics [11, 12] have highlighted the current  
1535 and expected future importance of underground reaction measurements. It is expected that underground  
1536 facilities will grow even further into a significant tool for the measurement of key reactions of interest, with  
1537 strong support from the nuclear astrophysics community.

### 5.2.2 Current underground-based research

1538

1539 Ongoing research underground is strongly supported by its connection to above ground facilities performing  
1540 higher energy measurements. These same facilities are striving to push the range of their measurements  
1541 to lower energy through higher beam intensities, active shielding adaptations and more sophisticated de-  
1542 tection techniques. The gains made through these methods help to better inform the requirements needed  
1543 when inevitably the measurement must move underground. Hybrid facilities are operating tens of meters  
1544 underground such as the Felsenkeller [13], where some background suppression from rock overburden can be  
1545 augmented with passive and active shielding, but this can only push reaction measurements so far into the  
1546 region of interest.

1547 In the US, underground nuclear astrophysics is pioneered by the CASPAR (Compact Accelerator System  
1548 for Performing Astrophysical Research) collaboration, operating the only US-based deep underground low  
1549 energy accelerator facility. Located at the 4850 level of the Sanford Underground Research Facility (SURF),  
1550 the system is centered on a 1 MV Van de Graff style JN accelerator and has been fully operational since  
1551 2018 [14].

1552 Current studies at CASPAR are focusing on multiple avenues of CNO cycle physics and s-process neutron  
1553 seeds. Due to the recent multi-disciplinary interest [3] a CASPAR (p, $\gamma$ ) campaign has measured the  
1554  $^{14}\text{N}(p,\gamma)^{15}\text{O}$  reaction [15] over a large energy range, generating a significant overlap with measurements above  
1555 ground and previous underground investigations. The main focus however is in alpha capture reactions,  
1556 ( $\alpha,\gamma$ ) and ( $\alpha,n$ ) with enhanced detection techniques of both  $\gamma$  and neutron radiation. The big questions  
1557 surrounding s-process neutron production and competing reactions are an on-going drive for future campaigns  
1558 with necessary improvements on the already compelling work out there, for example  $^{18}\text{O}(\alpha,\gamma)^{22}\text{Ne}$  [16] and  
1559  $^{22}\text{Ne}(\alpha,\gamma)^{26}\text{Mg}$  [17].

1560 Further work is needed to reach deeper into the burning regime of interest. Specifically the upgrade of  
1561 current equipment to cover larger energy ranges with increased beam intensity to target, the inclusion of  
1562 more sophisticated detector techniques as a mechanism to cancel out natural background contamination  
1563 from both detector materials and ambient rock, and a renewed effort by the community in target material  
1564 production and the reduction of background producing contaminants. A number of this R&D projects are  
1565 actively being pursued above ground with anticipated extensions to underground work in the near future.

1566 Underground nuclear astrophysics was first established in 1992 when the LUNA (Laboratory for Underground  
1567 Nuclear Astrophysics) collaboration installed their first 50 kV accelerator and measured the  $^3\text{He}(^3\text{He},2p)^4\text{He}$   
1568 reaction at solar energies [18]. The group currently operates a 400 kV Singletron accelerator [19] allowing for  
1569 a wider energy range of measurements including the ability to push into the energy range of interest for the  
1570  $^{13}\text{C}(\alpha,\gamma)^{16}\text{O}$  reaction for the first time [20]. Commissioning work is underway to expand their capabilities  
1571 with the addition of a new 3.5 MV accelerator and new laboratory space.

1572 Further international efforts in China are centered around the JUNA (Jinping Underground Nuclear Astro-  
1573 physics) facility located in the China Jinping underground Laboratory (CJPL) [21]. The JUNA facility came  
1574 online in 2021 and uses a high intensity ECR ion source and 400 kV platform to combine the background  
1575 suppression available from the large rock overburden, with increased beam intensity at the lower energies  
1576 required. Recent work has led to exciting results for the CNO cycle reactions with a direct measurement of  
1577 the important  $^{19}\text{F}(p,\alpha)^{16}\text{O}$  reaction [22].

### 5.2.3 Underground usage and needs

- 1579 • **Location:** As with other underground experiments, nuclear astrophysics laboratories make the move  
1580 underground to suppress the high levels of cosmic induced background. The three deep underground  
1581 facilities currently operating all make use of a rock overburden greater than 3500 m.w.e, however  
1582 below  $\sim 2000$  m.w.e, the further reduction of cosmic induced background must be balanced against  
1583 ambient backgrounds generated via uranium and thorium decay chains naturally occurring in the  
1584 surrounding rock. This trade off must be studied per specific location, but may result in different  
1585 levels of underground labs not suitable for other experiments, being useful to nuclear astrophysics.  
1586 Secondary considerations stem from the general nature of the active production of nuclear reactions  
1587 to generate radioactive decays, whereas the levels of production are intrinsically small, hence the need  
1588 for underground, the production of possible secondary reactions during testing and R&D stages may  
1589 be undesirable to neighbouring experiments. Such interactions may be negated by either increased  
1590 shielding at the accelerator facility or the consideration of more remote locations for future lab spaces.
- 1591 • **Space requirements:** Required footprints for these underground accelerator facilities must consider  
1592 not only the equipment hall, but at minimum a separated control room in close proximity. A compact  
1593 system can be considered in as little as 4000 - 6000 sq ft, if suitable workshop and preparation space is  
1594 available at nearby locations. Minimum height clearances for some high voltage platforms can be above  
1595 10 - 14 ft, but enclosed acceleration systems are modest if utilities can be located clear of working areas.  
1596 Changing room facilities are also required, these are necessary as a transition zone between outer mine  
1597 areas and lab spaces but do not have to meet the higher standards of clean room levels.
- 1598 • **Cleanliness levels & environment:** Air quality and climate controls for these accelerator based  
1599 experiments are reliably uniform across different variations of systems. The transport of beam and  
1600 interaction of nuclei of interest is all performed in vacuum, with only the detection system exposed to  
1601 the ambient environment. However once these systems are exposed to air for configuration changes or  
1602 maintenance, maintaining a clean, dry and dust free environment is necessary. Basic level requirements  
1603 are outlined here, for future open-air high voltage platform installations it is felt that stricter controls  
1604 maybe required dependent on the stability of humidity and dust levels. Most requirements overlap  
1605 with those outlined by other groups.
  - 1606 – **Cleanroom** classification levels are not required for lab spaces, normal “office” air quality levels  
1607 are sufficient with a need for any concrete floors to be sealed to reduce dust. A strong reduction of  
1608 mine dust is a necessity at all entry and exit points to the main experimental halls and maintenance  
1609 areas.
  - 1610 – **Humidity** levels are required between 30-50% to reduce moisture uptake of vacuum systems and  
1611 minimize condensation on water cooled equipment.
  - 1612 – **Vibration** levels are important for acceleration systems and detector set-ups and spaces should  
1613 be considered at levels  $< 100$  micrometer/sec rms.
  - 1614 – **Radon** mitigation is required at detector stations. Current systems utilize localized radon reduc-  
1615 tion boxes with a flow of dry nitrogen as a purge gas. A lab wide radon reduction infrastructure  
1616 may be considered if beneficial to multiple groups.
- 1617 • **Utilities:** Infrastructure requirements are inline with other groups outlined in this section. Cooling  
1618 water, uninterrupted power feeds, clean power at specified locations, high capacity wired and wireless  
1619 network connections and liquid nitrogen supplies are all necessities.
- 1620 • **Support and access:** All accelerator based experiments are strongly interactive and have significant  
1621 access requirements. Whereas systems can be left to operate with more passive controls in place,

1622 start-up, shutdown and target changes need a physical presence. Access availability during campaigns  
1623 must be considered on a flexible basis as 24/7 availability is a strong requirement for such systems.

#### 1624 5.2.4 Outlook

1625 The outlook for the field of underground nuclear astrophysics experiments is extremely encouraging. As  
1626 international efforts and upgrades are coming online new measurements continue to confirm the importance  
1627 of key reactions and associated pathways in stellar models. A key challenge in nuclear astrophysics has always  
1628 been the need to move away from the use of extrapolations from higher energies into astrophysically relevant  
1629 burning regimes, reducing the uncertainties and thus refining stellar burning models for comparison and  
1630 evaluation of observational data. Continued discoveries and refined measurements from these underground  
1631 accelerator labs are used to guide research both above and below ground and are a driving force behind  
1632 understanding the energy generation, elemental production and eventual life-cycle of stellar objects.

1633 Strong multi-disciplinary interaction and collaborations with current underground efforts is a necessity  
1634 moving forward in developing and strengthening the field of U.S. underground nuclear astrophysics. As  
1635 highlighted throughout this *Underground Facilities* section there are many areas where requirements and  
1636 capabilities can overlap and strengthen each other.

### 1637 5.3 Experiments in fundamental symmetries

1638 Writer: Catalina Curceanu (LNF, INFN)

#### 1639 5.3.1 Science goals

1640 Refined measurements of X- and gamma-rays in an underground laboratory, represent an unique opportunity  
1641 to investigate space-time related symmetries and the main conundrum of quantum theory, i.e. the collapse  
1642 of the wave function. These investigations are being performed by extremely sensitive searches of atomic and  
1643 nuclear transitions violating the Pauli Exclusion Principle (PEP), and as such, the spin-statistics connection,  
1644 and of the spontaneous radiation predicted by the dynamical collapse models which solve the so-called  
1645 “measurement problem” in quantum mechanics by adding non-linear terms to the Schroedinger equation.  
1646 Possible violations of the Pauli Exclusion Principle are embedded in algebraic models, such as the quon model  
1647 constrained to the Messiah-Greenberg (MG) superselection rule [1-4], and in Non-Commutative Quantum  
1648 Gravity models (NCQG), common to both String Theory and Loop Quantum Gravity [5-9]. On the other  
1649 side, the collapse models, such as the Continuous Spontaneous Localization and the gravity-related collapse  
1650 models, have as a specific signature the so-called spontaneous radiation, i.e. a radiation with specific spectral  
1651 features, depending on the collapse models parameters and on the emitting material, continuously emitted by  
1652 the charged particles making up the matter (i.e. electrons and protons). The measurement of such radiation  
1653 is a trademark of the collapse models [10-12].

### 5.3.2 Current underground-based research

Presently, the violation of the PEP is being investigated by various underground experiments, either by a dedicated search of the PEP violating atomic transition, such as the VIP experiment at the LNGS-INFN, or in experiments searching for other types of physics, such as DAMA/LIBRA or BOREXINO [13], [14] which used their data to also constrain the PEP. The VIP experiment singles out by having performed a dedicated search of PEP violation [15-16] with a method which allows to constrain the probability of the PEP violation by taking into account the Messiah-Greenberg superselection rule. The searches for the spontaneous radiation from collapse models was pioneered in the LNGS-INFN underground laboratory by the VIP collaboration, where the simplest version of gravity-related collapse models was ruled out [12], and tight constraints on CLS models were also set [11]. Presently, other underground experiments, not directly dedicated to the search of spontaneous radiation, are using their data to also try to constrain collapse models [17].

### 5.3.3 Underground usage and needs

Presently, searches of PEP violations are being performed by the VIP-2 collaboration at the LNGS in a dedicated experiment by circulating current through a copper (silver in next generation) target and searching for atomic transitions prohibited by PEP. Other underground experiments, not dedicated to PEP violations studies, measuring X- and gamma-rays, analyze their data in the view of searches for PEP violating nuclear and atomic transitions. The VIP-2 collaboration is also continuing to measure the spontaneous radiation predicted by the collapse models in dedicated measurements with High Purity Germanium detectors at the low-radioactivity facility at LNGS.

One can investigate the PEP violation and spontaneous radiation also in experiments measuring radiation with a different primary goal (dark matter, neutrino physics) as a synergistic activity; these experiments can analyze their data also to search for signals related to PEP violation and/or collapse models. However, there is not possible for these experiments to search for PEP violation taking into account the Messiah-Greenberg superselection rule [3], for which one needs to circulate a current into the target, so requiring a dedicated setup. In this case, one needs to adapt the setup by implementing a dedicated current source and target, as well as an additional shielding structure.

In the future, the VIP Collaboration is preparing a new version of the apparatus, VIP-3, to perform a more sensitive search, in the coming 3-5 years in the dedicated box at LNGS. This will be paralleled with dedicated searches of spontaneous radiation. The experimental needs are limited, both in terms of occupied space (basically a box), time duration and financing. One can envisage clever synergetic collaboration with other ongoing or planned experiments measuring radiation with a different primary purpose, which can be integrated/adapted to also perform searches for PEP violation and spontaneous radiation in various underground laboratories around the world.

### 5.3.4 Outlook

The research performed by the VIP collaboration in searches of possible violation of PEP and of signals from collapse models in an underground laboratory, triggered theoretical research in the field which, in turn, is producing more refined models to be tested in the future. On one side, the PEP violation emerging from

1692 NGQG models requires a refined search of PEP violation as function of energy (i.e. along the periodic table).  
1693 Recent theoretical studies are investigating the connection between PEP and CPT/Lorentz possible violations  
1694 [8], which will require additional refined studies of PEP violation in dedicated experiments, eventually  
1695 performed in various underground laboratories embracing various continents in Northern and Southern  
1696 Hemispheres.

1697 For the collapse models, under the pressure of recent published limits, new models are being developed,  
1698 which go beyond the simplest versions by embedding dissipative and non-Markovian effects. All these  
1699 models have specific spectral features which need to be investigated in future experiments. There is a huge  
1700 window of opportunities for experiments in underground laboratories which could discover or set extremely  
1701 tight constraints on theories which are impacting on our understanding of Nature, such as the spin-statistics  
1702 connection and quantum mechanics. It is an excellent time to perform searches for PEP violation and collapse  
1703 models in parallel with searches for dark matter and neutrino physics. The synergies in these research fields  
1704 will enhance searches of radiation related to PEP violation and collapse models, impacting on our basic  
1705 concepts rooting the entire modern science.

## 1706 5.4 Gravitational wave detection

1707 Writer: Jan Harms (GSSI, L'Aquila)

### 1708 5.4.1 Science goals

1709 Gravitational waves (GWs) carry an enormous amount of information about their sources and propagate  
1710 virtually without loss through the Universe, which makes them a unique astrophysical and cosmological  
1711 probe. Observations of GWs from mergers of binary neutron stars allow us to study extreme states of  
1712 matter, and through multi-messenger studies of these sources, we can understand the formation of heavy  
1713 elements and the engines of high-energy EM transients. The emission of GWs from coalescing black-hole  
1714 binaries provides a unique probe of spacetime in the strong-curvature regime. It opens a new window  
1715 into quantum-gravity effects and for the detection of new particles like axions accumulating near black-  
1716 hole horizons. New sources of GWs might become observable in the future like core-collapse supernovae or  
1717 rotating neutron stars. While some of the GW science still lies hidden in the noise of current GW detectors,  
1718 a new generation of detectors will be able to access the full range of physics with GWs with high potential  
1719 for breakthrough observations and a revolution in our understanding of the Universe [40].

### 1720 5.4.2 Current underground-based research

1721 Up to now, all GW observations were done with the LIGO and Virgo detectors, which are located on the  
1722 surface. Surface environments are noisy in terms of seismic, atmospheric, and electromagnetic phenomena,  
1723 which pose a limit to the sensitivity of low-frequency GW detections [41]. The KAGRA detector is located  
1724 in a quiet underground environment and has recently joined the global detector network. It is projected  
1725 to eventually exceed the low-frequency sensitivity of surface detectors. To unlock the full potential of  
1726 underground GW detection, specific low-frequency instrument designs are required as planned for the  
1727 proposed Einstein Telescope in Europe [42]. Such designs foresee the implementation of quantum back-action

1728 evading techniques, cryogenic technologies for the test masses and their suspensions, and further reduction  
1729 of environmental noise using a new generation of isolation systems and advanced noise cancellation.

### 1730 5.4.3 Underground usage and needs

1731 The KAGRA detector will remain the only underground GW detector facility for the next decade at least [43].  
1732 It is providing crucial insight into the operation and maintenance of underground GW detectors. For  
1733 the breakthrough science promised with next-generation facilities like Cosmic Explorer and the Einstein  
1734 Telescope, it will be necessary to construct detectors with greatly increased lengths of the interferometer  
1735 arms compared to current detectors. The Einstein Telescope is the only currently planned next-generation  
1736 underground facility. It is proposed with a 10 km arm length in the shape of an equilateral triangle. In  
1737 preparation of a site selection and to inform its final underground infrastructure and detector designs,  
1738 extensive studies of underground environments are being carried out at its candidate sites, but also elsewhere  
1739 in the world including the KAGRA site. It is of great importance to understand the impact of service plants  
1740 on underground infrastructure noise. In this regard, other types of underground research facilities like the  
1741 Sanford Underground Research Facility and the National Laboratories of Gran Sasso can provide key insights,  
1742 and analyses of data from these facilities have begun.

### 1743 5.4.4 Outlook

1744 The quest to operate GW detectors underground is entirely connected to the goal of extending the observation  
1745 band of terrestrial detectors from currently about 20 Hz to a few Hz in the future. Gravitational-wave  
1746 observations in the few Hz to 20 Hz band will enable the detection of mergers with intermediate-mass black  
1747 holes up to a few 1000 solar masses. They are thought to have played a key role in the formation of the  
1748 Universe's large-scale structure as seeds of supermassive black holes and in the dynamics of star clusters,  
1749 but their population is poorly understood today. Similarly, it will be possible to observe black-hole binaries  
1750 to much larger redshift and to identify a possible primordial black-hole population. Even the analyses of  
1751 less-massive sources like neutron-star binaries will greatly profit from the low-frequency sensitivity, which  
1752 makes it possible to observe these sources for several hours. The Einstein Telescope is predicted to detect a  
1753 few 100,000 GW signals per year up to redshifts of 100 with signal-to-noise ratios improved by more than an  
1754 order of magnitude compared to current detectors, which will lead to the most extensive cosmological study  
1755 of the Universe so far [44].

## 1756 5.5 Geology and geophysics

1757 Writers: Derek Elsworth, William Roggenthen, Herb Wang

### 1758 5.5.1 Science goals

1759 Underground research laboratories (URLs) offer opportunities for research that either cannot be performed  
1760 at the surface or that can be performed more effectively in a URL. Goals include physics-based understanding



1761 of controls on permeability, stress, temperature, and chemical and biological processes across a variety of time  
1762 scales ranging from fractions of a second to years and through both passive- and active-experimentation at  
1763 length-scales of the order of 5-50m. Such experiments accommodate the effects of structure, heterogeneity,  
1764 and fracturing/faulting at all scales under environmental conditions (*e.g.*, stress, temperature) and with  
1765 coupled processes relevant to engineered and natural geophysical processes with the ability to monitor and  
1766 observe processes directly *in situ*.

1767 **Permeability** Permeability, both natural and induced, is critical in a wide variety of applications. For  
1768 example, the permeability of fault zones cannot be studied easily in the laboratory, but fluid transmissivity of  
1769 faults is important in such diverse problems as the sealing of reservoirs, waste disposal strategies, geothermal  
1770 energy production, and subsurface fluids (*e.g.*, carbon dioxide, natural gas, and hydrogen) storage.

1771 **Stress** Stress is a fundamental parameter for understanding the behavior of rocks in the subsurface.  
1772 Development of improved measurement techniques is an important goal, especially in the confirmation and  
1773 improvement of modeling approaches for the prediction of time dependent stresses.

1774 **Temperature, Stress, and Chemical Processes** Combined effects of temperature, stress, and chemical  
1775 processes involves changes in the *in situ* conditions that result in alteration of the behavior of the rock to  
1776 the passage of fluids, changes in the chemical composition of the rock, and mechanical behavior that are  
1777 important in nuclear waste disposal and enhanced geothermal energy reservoirs.

1778 **Rock Mass Characterization** Geophysical techniques for rock mass characterization further the devel-  
1779 opment of rock mass characterization using techniques such as various tomography methods, *e.g.* seismic  
1780 and electrical resistivity, to allow prediction of underground stability and longevity of excavations.

1781 **Faulting, Fracturing, and Seismicity** Rock failure occurs over many spatial and temporal scales  
1782 both from natural and engineered causes. Microearthquakes (MEQs) are a ubiquitous feature in the  
1783 subsurface, accompanying underground excavation, construction, fluid injection or extraction, and other  
1784 active experimentation. But large, induced earthquakes can also occur along with MEQs. Inducing and  
1785 observing benign MEQs in URLs present useful analogs to understand modes of initiation and progress in  
1786 natural and triggered events.

## 1787 5.5.2 Current underground-based research

1788 Current research is diverse and includes areas such as carbon sequestration, geothermal development,  
1789 nuclear waste disposal issues, induced seismicity, and advances in underground excavation. The large  
1790 physics-related URLs typically have aspects of geoscience and geoengineering involving research in rock  
1791 mechanics that are necessary to ensure rock stability of the excavations. URLs such as the Sanford  
1792 Underground Research Facility (SURF) are well-positioned to support the wider interests of the geosciences  
1793 and geoengineering community and host geophysics, ground water, and geothermal experiments, as well.  
1794 In addition to opportunities presented by URLs developed for physics investigations, research in many  
1795 URLs is aimed toward work on issues relevant to nuclear waste disposal, such as that conducted at the  
1796 Grimsel Test Site and Mont Terri Project in Switzerland and the WIPP Site in New Mexico, USA. The

1797 status of active, non-active, and planned URLs with this focus by Tynan and others (“*A Global Survey*  
1798 *of Deep Underground Facilities; Examples of Geotechnical and Engineering Capabilities, Achievements,*  
1799 *Challenges...*”, 2018) shows that thirteen of these types of URLs are currently active with more in the  
1800 planning stage. In many instances, these facilities also provide platforms for needed advances in fluid flow  
1801 through rocks and underground rock stability although none appear to have the capability of supporting  
1802 experiments with extensive excavations at depths greater than 1 km.

### 1803 5.5.3 Underground usage and needs

1804 Typical installations consist of drilling of boreholes with associated instrumentation. At any one URL,  
1805 an estimate of three such installations for a total of  $\sim 750$  m<sup>2</sup> of habitable space would be required. Any  
1806 facility would desire borehole access to the adjacent geologic host—with characteristics selected that are  
1807 dependent on the investigation—*viz.* varied stresses, pressures, and temperatures (typically controlled by  
1808 depth), specifics of rock structure, heterogeneity and fracturing and specifics of the stress field (obliquity  
1809 & orientation). These features are all key in aligning the characteristics of the URL experiment with those  
1810 of the natural or engineered geological prototype. In addition to providing site access, necessary facilities  
1811 include access to local workshops, qualified onsite technical personnel and management to support science,  
1812 electrical power, water, compressed air, and ethernet access.

### 1813 5.5.4 Outlook

1814 The outlook for mid-scale and highly constrained in-situ experiments in URLs is strong and are especially  
1815 pertinent to societal needs such as those associated with energy and the environment. The Dept. of Energy  
1816 has shown strong support for research in geothermal energy at SURF and carbon sequestration is of interest  
1817 to both DOE and NSF. The initiatives are consistent with the NSF grand challenges, such as Growing  
1818 Convergence Research and Mid-scale Research Infrastructure. The applied nature of the research is of  
1819 interest to a range of industries, as well.

## 1820 5.6 Quantum Information Science

1821 Writer: Joe Formaggio (MIT)

### 1822 5.6.1 Science goals

1823 As both nuclear physics and particle physics involve the quantum interactions of many sub-atomic particles,  
1824 there has always existed a strong interplay between these fields and the study of quantum physics and  
1825 quantum information systems (QIS). This interplay has accelerated in recent years, particularly with the  
1826 emergence of new, highly sensitive technologies, nascent access to quantum computing environments at the  
1827 O(10)-O(100)-bit scale, and the use of coherence and entanglement to enhance sensitivity to novel and exotic  
1828 phenomena. One unusual area of interplay between the two disciplines that has recently emerged is the role  
1829 of background radiation and background mitigation on highly sensitive systems such as qubits.

1830 In order to make quantum computing a viable and usable technology, the underlying units of computation  
1831 –qubits– must exhibit high fidelity and long coherence times. Over the past two decades, advances in device  
1832 design, fabrication, and materials have increased coherence times by almost six orders of magnitude [55].  
1833 Nonetheless, to realize the full promise of quantum computing, far longer coherence times will be needed to  
1834 achieve the operational fidelity required for fault-tolerant computation. Coherence for superconducting qubits  
1835 can be spoiled by an excess density of quasi-particles, and quasi-particle densities far exceed what is naively  
1836 expected from thermal equilibrium. Recent measurements made by several groups [48, 52, 53, 54] have shown  
1837 that one creeping contribution to quasi-particle poisoning appears due to ionizing radiation stemming from  
1838 external gamma radiation, cosmic rays, and radiogenic contamination of materials surrounding the qubit.  
1839 This source of quasi-particle poisoning is particularly worrisome for QIS applications, since ionizing radiation  
1840 appears to affect multiple qubits simultaneously [52, 53]. Since quantum error correction (QEC) schemes  
1841 –necessary for scaling quantum computing– rely on qubits to exhibit random, uncorrelated errors, correlated  
1842 error sources would render such schemes ineffective. Ionizing radiation has been deemed “catastrophic”  
1843 because of its ability to potentially circumvent traditional QEC algorithms.

## 1844 5.6.2 Current underground-based research

1845 Fortunately, a number of techniques are being devised to reduce the impact of radiation. These in-  
1846 clude spatially distributed error correction schemes [45], material engineering to promote phonon down-  
1847 conversion [51, 46], and developing a more comprehensive understanding of the underlying microscopic  
1848 physics that leads to quasi-particle poisoning [47, 49]. As cosmic ray radiation is a non-negligible portion  
1849 of the environmental radiation, underground facilities may also provide a unique resource for studying  
1850 quasi-particle poisoning in radiation-quiet environments. Here “underground facilities” is a catchall for  
1851 the decades of experience in the nuclear and particle physics communities for background mitigation and  
1852 suppression. Underground laboratories specifically may offer perhaps one of the only ways to effectively  
1853 reduce the cosmic ray flux impinging upon a multi-qubit system. Although precise models of how cosmic  
1854 rays impact multi-qubit systems are still being studied, preliminary measurements on similar systems have  
1855 shown that significant overburden could improve qubit performance [50, 54].

## 1856 5.6.3 Underground usage and needs

1857 Underground facilities could be excellent locations for studying qubit systems in low background environ-  
1858 ments, with relatively modest investments in infrastructure and resources. Small facilities have already  
1859 started to emerge in both deep- and shallow sites, such as FERMILAB, Pacific Northwest National Labo-  
1860 ratory, and Gran Sasso. As qubit systems operate at cryogenic temperatures, such facilities would need to  
1861 sustain use of dilution refrigerators underground. Such systems are already in place for several dark matter  
1862 experiments that operate at cryogenic temperatures (e.g. EDELWEISS [56]).

## 1863 5.6.4 Outlook

1864 As quantum computing systems advance in computational power and capability, radioactivity is likely to play  
1865 an ever-increasing role in their performance. Underground facilities, coupled with decades of experience of  
1866 running sensitive, low-background experiments underground, offer a unique space to study how such systems

1867 behave in radiation-quiet environments. These laboratories can also be a catalyst for further collaboration  
1868 between the fields of particle physics, nuclear physics and QIS. Investment in this line of research is strongly  
1869 encouraged.

## Bibliography

- 1870
- 1871 [1] E. M. Burbidge, G. R. Burbidge, W. A. Fowler, F. Hoyle, “Synthesis of the Elements in Stars”, Reviews  
1872 of Modern Physics, 1957.
- 1873 [2] C. Iliadis, “Nuclear Physics of Stars”, John Wiley & Sons Ltd, 2007.
- 1874 [3] The BOREXINO Collaboration, M. Agostini, K. Altenmüller, S. Appel, V. Atroshchenko, Z.  
1875 Bagdasarian, D. Basilio, G. Bellini, J. Benziger, R. Biondi, D. Bravo, *et al.*, “Experimental evidence  
1876 of neutrinos produced in the CNO fusion cycle in the Sun”, Nature, vol. 587, pp. 577-582, 2020.
- 1877 [4] R. J. deBoer, J. Görres, M. Wiescher, R. E. Azuma, A. Best, C. R. Brune, C. E. Fields, S. Jones, M.  
1878 Pignatari, D. Sayre, K. Smith, F. X. Timmes, and E. Uberseder, “The  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  reaction and its  
1879 implications for stellar helium burning”, Rev. Mod. Phys., vol 89, p. 035007, 2017.
- 1880 [5] J. J. Cowan, C. Sneden, J. E. Lawler, A. Aprahamian, M. Wiescher, K. Langanke, G. Martínez-Pinedo,  
1881 and F.-K. Thielemann, “The  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  reaction and its implications for stellar helium burning”, Rev.  
1882 Mod. Phys., vol 89, p. 035007, 2017.
- 1883 [6] M. Arnould and S. Goriely, “The p-process of stellar nucleosynthesis: astrophysics and nuclear physics  
1884 status,”, Phys. Rep., vol. 384, no. 1, pp 1-84, 2003.
- 1885 [7] S. E. Woosley, A. Heger, A. Cumming, R. D. Hoffman, J. Pruet, T. Rauscher, J. L. Fisker, H. Schatz, B.  
1886 A. Brown, and M. Wiescher, “Models for type I X-ray bursts with improved nuclear physics”, Astrophys.  
1887 J. Suppl. Ser., vol. 151, pp. 75-102, 2004.
- 1888 [8] J. B. Blake and D. N. Schramm, “A Possible Alternative to the R-Process”, Astrophys. J., vol. 209, pp.  
1889 846-849, 1976.
- 1890 [9] W. Aoki, T. C. Beers, N. Christlieb, J. E. Norris, S. G. Ryan and S. Tsangarides, “Carbon-enhanced  
1891 metal-poor stars. i. chemical compositions of 26 stars”, Astrophys. J., vol. 655, pp. 492-521, 2007.
- 1892 [10] F. Käppeler, R. Gallino, S. Bisterzo and W. Aoki, “The s-process: Nuclear physics, stellar models and  
1893 observations”, Rev. Mod. Phys., vol. 83, pp. 157-193, 2011.
- 1894 [11] M. Aliotta, R. Buompane, M. Couder, A. Couture, R.J. deBoer, A. Formicola, L. Gialanella, J. Glorius,  
1895 G. Imbriani, M. Junker, *et al.*, “The status and future of direct nuclear reaction measurements for stellar  
1896 burning”, J. Phys. G: Nucl. Part. Phys. 49, 010501, 2022.
- 1897 [12] H Schatz, A D Becerril Reyes, A Best, E F Brown, K Chatziioannou, K A Chipps, C M Deibel,  
1898 R Ezzeddine, D K Galloway, C J Hansen, *et al.*, “Horizons: Nuclear Astrophysics in the 2020s and  
1899 Beyond”, arXiv:2205.07996, 2022.
- 1900 [13] M. Grieger, T. Hensel, J. Agramunt, D. Bemmerer, D. Degering, I. Dillmann, L. M. Fraile, D. Jordan, U.  
1901 Köster, M. Marta, *et al.*, “Neutron flux and spectrum in the Dresden Felsenkeller underground facility  
1902 studied by moderated  $^3\text{He}$  counters”, Phys. Rev. D, vol. 101, p. 123027, 2020.
- 1903 [14] D. Robertson, M. Couder, U. Greife, F. Strieder, and M. Wiescher, “Underground nuclear astrophysics  
1904 studies with CASPAR”, EPJ Web of Conferences, 109, 09002, 2016.
- 1905 [15] B. Frentz, A. Aprahamian, A. Boeltzig, A.M. Clark, R.J. deBoer, G. Gilardy, J. Görres, S.L. Henderson,  
1906 K.B. Howard, Q. Liu, *et al.*, “Astrophysical S-Factor measurement of the  $^{14}\text{N}(p,\gamma)^{15}\text{O}$  reaction in the  
1907 CNO cycle”, Submitted Phys. Rev. C. 2022.

- 1908 [16] A. C. Dombos, D. Robertson, A. Simon, T. Kadlecěk, M. Hanhardt, J. Görres, M. Couder, R. Kelmar, O.  
1909 Olivas-Gomez, E. Stech, *et al.*, “Measurement of Low-Energy Resonance Strengths in the  $^{18}\text{O}(\alpha,\gamma)^{22}\text{Ne}$   
1910 Reaction”, *Phys. Rev. Lett.* 128, 162701, 2022.
- 1911 [17] Shahina, J. G örres, D. Robertson, M. Couder, O. Gomez, A. Gula, M. Hanhardt, T. Kadlecěk, R.  
1912 Kelmar, P. Scholz, *et al.*, “Direct measurement of the low-energy resonances in  $^{22}\text{Ne}(\alpha,\gamma)^{26}\text{Mg}$  reaction”,  
1913 Submitted *Phys. Rev. C.* 2022.
- 1914 [18] M. Junker, A. D’Alessandro, S. Zavatarelli, C. Arpesella, E. Bellotti, C. Broggini, P. Corvisiero, G.  
1915 Fiorentini, A. Fubini, G. Gervino, *et al.*, “Cross section of  $^3\text{He}(^3\text{He},2p)^4\text{He}$  measured at solar energies”,  
1916 *Phys. Rev. C*, vol. 57, pp. 2700-2710, 1998.
- 1917 [19] A. Formicola, G. Imbriani, M. Junker, D. Bemmerer, R. Bonetti, C. Broggini, C. Casella, P. Corvisiero,  
1918 H. Costantini, G. Gervino, *et al.*, “The LUNA II 400kV accelerator”, *Nucl. Inst. Meth. Phys. Res. Sec.*  
1919 *A*, vol. 507, no. 3, pp. 609-616, 2003.
- 1920 [20] G. F. Ciani, L. Csedreki, D. Rapagnani, M. Aliotta, J. Balibrea-Correa, F. Barile, D. Bemmerer, A.  
1921 Best, A. Boeltzig, C. Broggini, *et al.*, “Direct measurement of the  $^{13}\text{C}(\alpha,n)^{16}\text{O}$  Cross Section into the  
1922 s-Process Gamow Peak”, *Phys. Rev. Lett.* 127, 152701, 2021.
- 1923 [21] W. Liu, Z. Li, J. He, X. Tang, G. Lian, Z. An, J. Chang, H. Chen, Q. Chen, X. Chen, *et al.*, “Progress  
1924 of Jinping Underground laboratory for Nuclear Astrophysics (JUNA)”, *Sci. Chi. Phys. Mech. Astron.*,  
1925 vol. 59, p. 5785, 2016.
- 1926 [22] L. Y. Zhang, J. Su, J. J. He, M. Wiescher, R. J. deBoer, D. Kahl, Y. J. Chen, X. Y. Li, J. G. Wang,  
1927 L. Zhang, *et al.*, “Direct Measurement of the Astrophysical  $^{19}\text{F}(p,\alpha\gamma)^{16}\text{O}$  Reaction in the Deepest  
1928 Operational Underground Laboratory”, *Phys. Rev. Lett.* 127, 152702, 2021.
- 1929 [23] O. W. Greenberg, *Phys. Rev. Lett.* 64, 705 (1990)
- 1930 [24] O. W. Greenberg and R. N. Mohapatra, *Phys. Rev. Lett.* 59, 2507 (1987)
- 1931 [25] A. M. L. Messiah and O. W. Greenberg, *Phys. Rev.* 136, B248 (1964)
- 1932 [26] A.Y. Ignatiev, V.A. Kuzmin. *Yad. Fiz.*, 46 (1987)
- 1933 [27] A. P. Balachandran, G. Mangano, A. Pinzul and S. Vaidya, *Int. J. Mod. Phys. A* 21 (2006) 3111
- 1934 [28] A. P. Balachandran, T. R. Govindarajan, G. Mangano, A. Pinzul, B. A. Qureshi and S. Vaidya, *Phys.*  
1935 *Rev. D* 75 (2007) 045009
- 1936 [29] A. Addazi and A. Marcianò, *Int. J. Mod. Phys. A* 35 2020 no. 32, 2042003
- 1937 [30] N. Mavromatos *EPJ Web of Conferences* 166, 00005 (2018)
- 1938 [31] A. Addazi, P. Belli, R. Bernabei and A. Marciano, *Chin. Phys. C* 42 (2018) no.9, 094001
- 1939 [32] M. Carlesso *et al.*, *Nature Phys.* 18 (2022) 3, 243-250, N
- 1940 [33] S. Donadi *et al.*, *Eur. Phys. J. C* (2021) 81: 773
- 1941 [34] S. Donadi *et al.*, *Nature Physics* volume 17, pages 74–78 (2021)
- 1942 [35] R. Bernabei *et al.*, *Eur. Phys. J. C* 62 (2009) 327
- 1943 [36] G. Bellini *et al.* (Borexino Collaboration) *Phys. Rev. C* 81, 034317 (2010)

- 1944 [37] K. Piscicchia, et al., *Eur. Phys. J. C* 80, no.6, 508 (2020)
- 1945 [38] F. Napolitano et al., *Symmetry* 2022, 14(5), 893
- 1946 [39] I.J. Arnquist, et al., arXiv:2202.01343v2
- 1947 [40] V. Kalogera, B Sathyaprakash et al., *The Next Generation Global Gravitational Wave Observatory: The Science Book*; <https://arxiv.org/abs/2111.06990> (2021)
- 1948
- 1949 [41] I. Fiori et al., *The Hunt for Environmental Noise in Virgo during the Third Observing Run*; <http://dx.doi.org/10.3390/galaxies8040082> (2020)
- 1950
- 1951 [42] ET Steering Committee et al., *Einstein Telescope: Science Case, Design Study and Feasibility Report*; <https://apps.et-gw.eu/tds/?content=3&r=17196> (2020)
- 1952
- 1953 [43] T. Akutsu et al., *KAGRA: 2.5 generation interferometric gravitational wave detector*; <https://www.nature.com/articles/s41550-018-0658-y> (2019)
- 1954
- 1955 [44] M. Maggiore et al., *Science case for the Einstein Telescope*; <https://doi.org/10.1088/1475-7516/2020/03/050> (2020)
- 1956
- 1957 [45] Xu, Qian and Seif, Alireza and Yan, Haoxiong and Mannucci, Nam and Sane, Bernard Ousmane and Van Meter, Rodney and Cleland, Andrew N. and Jiang, Liang, “Distributed quantum error correction for chip-level catastrophic errors”, arXiv:2203.16488”, (2022).
- 1958
- 1959
- 1960 [46] Iaia, V. and Ku, J. and Ballard, A. and Larson, C. P. and Yelton, E. and Liu, C. H. and Patel, S. and McDermott, R. and Plourde, B. L. T., “Phonon downconversion to suppress correlated errors in superconducting qubits”, arXiv:2203.06586”, (2022).
- 1961
- 1962
- 1963 [47] Liu, Chuan-Hong et al., “Quasiparticle Poisoning of Superconducting Qubits from Resonant Absorption of Pair-breaking Photons”, arXiv:2203.06577, (2022).
- 1964
- 1965 [48] Antti P. Vepsäläinen and Amir H. Karamlou and John L. Orrell and Akshunna S. Dogra and Ben Loer and Francisca Vasconcelos and David K. Kim and Alexander J. Melville and Bethany M. Niedzielski and Jonilyn L. Yoder and Sim, “Impact of ionizing radiation on superconducting qubit coherence”, *Nature*, Vol. 584, Num. 7822, Pg. 551-556, August 2020.
- 1966
- 1967
- 1968
- 1969 [49] Pan, Xianchuang and Yuan, Haolan and Zhou, Yuxuan and Zhang, Libo and Li, Jian and Liu, Song and Jiang, Zhi Hao and Catelani, Gianluigi and Hu, Ling and Yan, Fei, “Engineering superconducting qubits to reduce quasiparticles and charge noise”, arXiv:2202.01435, (2022).
- 1970
- 1971
- 1972 [50] Gusenkova, Daria et al., “Operating in a deep underground facility improves the locking of gradiometric fluxonium qubits at the sweet spots”, *Appl. Phys. Lett.*, Vol. 120, Num. 5, Art. 054001, (2022).
- 1973
- 1974 [51] Catelani, Gianluigi and Pekola, Jukka P., “Using materials for quasiparticle engineering”, *Mat. Quant. Technol.*, Vol. 2”, Art. 013001, (2022).
- 1975
- 1976 [52] McEwen, Matt et al., “Resolving catastrophic error bursts from cosmic rays in large arrays of superconducting qubits”, *Nature Phys.*, Vol. 18, Num. 1, Pg. 107–111, (2022).
- 1977
- 1978 [53] Wilen, C. D. et al., “Correlated charge noise and relaxation errors in superconducting qubits”, *Nature*, Vol. 594, Num. 7863, Pg. 369–373, (2021).
- 1979
- 1980 [54] Cardani, Laura et al., “Reducing the impact of radioactivity on quantum circuits in a deep-underground facility”, *Nature Commun.*, Vol. 12, Num. 1, Pg. 2733, (2021).
- 1981

- 1982 [55] Kjaergaard, Morten and Schwartz, Mollie E. and Braumüller, Jochen and Krantz, Philip and Wang,  
1983 Joel I.-J. and Gustavsson, Simon and Oliver, William D., “Superconducting Qubits: Current State of  
1984 Play”, Annual Review of Condensed Matter Physics, Vol. 11, Num. 1, Pg. 369-395, (2020).
- 1985 [56] Arnaud, Q. et al., “First germanium-based constraints on sub-MeV Dark Matter with the EDELWEISS  
1986 experiment”, Phys. Rev. Lett., Vol. 125, Num. 14, Art. 141301, (2020).